



U.S. Small Business Administration
Washington, D.C. 20416

March 11, 2002

**OFFICE OF
INSPECTOR GENERAL**

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C), Information Security

Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear U.S. FOIA b (6), (b) 7(C):

In response to your letter, dated February 11, 2002, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security Initial Investigations and Reinvestigations for Fiscal Years 2001, 2002, and 2003.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me or U.S. FOIA b (6), (b) 7(C), U.S. FOIA b (6), (b) 7(C), Office of Security Operations, at U.S. FOIA b (6), (b) 7(C).

Sincerely,

Mark R. Woods
Assistant Inspector General
for Investigations

Enclosure

Rec'd Mar 25, 02

2003 Security Costs Estimates Display

Name of Department/Agency: Small Business Administration, Office of Inspector General,
Investigations Division

Reporting Categories	FY 2001 (Est. in \$000s)	FY 2002 (Est. in \$000s)	FY 2003 (Est. in \$000s)
1. Personnel Security	\$38,991*	\$41,000	\$43,000
2. Physical Security	0	0	0
3. Information Security (Sum of a, b & c below)	0	0	0
a. Classification Management	0	0	0
b. Declassification	0	0	0
c. Information Technology (Electronic Security)	0	0	0
4. Professional Education, Training and Awareness	0	0	0
5. Security Management, Oversight and Planning	0	0	0
6. Unique Items	0	0	0
Totals: Fiscal Year Estimates	\$38,991	\$41,000	\$43,000
Totals: Full-Time Equivalents (FTE)			

NARRATIVE:

*For FY 01 110 background investigations (BIs) were initiated. Out of these 110, 30 BIs were related to security clearance activity (27%).



U.S. Small Business Administration

March 20, 2003

OFFICE OF INSPECTOR GENERAL

U.S. FOIA b (6), (b) 7(C)

Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear U.S. FOIA b (6), (b) 7(C):

In response to your letter, dated February 7, 2003, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the U.S. Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Years 2002, 2003, and 2004.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me or U.S. FOIA b (6), (b) 7(C), U.S. FOIA b (6), (b) 7(C), Office of Security Operations, at U.S. FOIA b (6), (b) 7(C).

Sincerely,

Mark R. Woods
Assistant Inspector General
for Investigations

Enclosure

2004 SECURITY COSTS ESTIMATES DISPLAY

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General,
Investigations Division

REPORTING CATEGORIES	FY 2002 (Est. in \$000s)	FY 2003 (Est. in \$000s)	FY 2004 (Est. in \$000s)
1. Personnel Security	\$56,062*	\$43,469	\$45,469
2. Physical Security	0	0	0
3. Information Security (Sum of a, b & c below)	0	0	0
a. Classification Management	0	0	0
b. Declassification	0	0	0
c. Information Technology (Electronic Security)	0	0	0
4. Professional Education, Training and Awareness	0	0	0
5. Security Management, Oversight and Planning	0	0	0
6. Unique Items	0	0	0
Totals: Fiscal Year Estimates	\$56,062	\$43,469	\$45,469
Totals: Full-Time Equivalents (FTE)	0	0	0

NARRATIVE:

*For FY 2002 130 background investigations (BI) were initiated. Out of these 130, 60 BIs were related to security clearance activity (46%). For FY 2002 we had an unusually high level of BI requests for security clearance activity and the focus was on bringing all the 5-10-year reinvestigations up to current status.



U.S. Small Business Administration

March 16, 2004

**OFFICE OF
INSPECTOR GENERAL**

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear U.S. FOIA b (6), (b) 7(C):

In response to your letter, dated February 10, 2004, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the U.S. Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Years 2003, 2004, and 2005.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me or U.S. FOIA b (6), (b) 7(C), Office of Security Operations at U.S. FOIA b (6), (b) 7(C).

Sincerely,

Jeanine L. Young
Acting Assistant Inspector General
for Investigations

Enclosure

RECEIVED
MAR 22 2004

BY: _____

2005 Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General,
Investigations Division

Reporting Categories	FY 2003 (Est. in \$000s)	FY 2004 (Est. in \$000s)	FY 2005 (Est. in \$000s)
1. Personnel Security	\$45,001*	\$47,001	\$49,001
2. Physical Security	0	0	0
3. Information Security (Sum of a, b, c & d below)	0	0	0
<i>a. Classification Management</i>	0	0	0
<i>b. Declassification</i>	0	0	0
<i>c. Information Systems Security</i>	0	0	0
<i>d. Miscellaneous (OPSEC & TSCM)</i>	0	0	0
4. Professional Education, Training and Awareness	0	0	0
5. Security Management, Oversight and Planning	0	0	0
6. Unique Items	0	0	0
Totals: Fiscal Year Estimates	\$45,001	\$47,001	\$49,001
Totals: Full-Time Equivalents (FTEs)	0	0	0

NARRATIVE: *For FY 03 188 background investigations were initiated. Out of these 188, 42 background investigations were related to security clearance activity (22%).



U.S. Small Business Administration
Washington, D.C. 20416

March 29, 2005

**OFFICE OF
INSPECTOR GENERAL**

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408-0001

Dear **U.S. FOIA b (6), (b) 7(C)**:

In response to your letter, dated February 11, 2005, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the U.S. Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Years 2004, 2005, and 2006.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me at **U.S. FOIA b (6), (b) 7(C)**.

Sincerely,

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

Office of
Security Operations

Enclosure

FY 2004, FY 2005, and FY 2006 Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General,
Investigations Division

Reporting Categories	FY 2004 (Est. in \$000s)	FY 2005 (Est. in \$000s)	FY 2006 (Est. in \$000s)
1. Personnel Security	\$78,634*	\$81,000	\$83,000
2. Physical Security	0	0	0
3. Information Security (Sum of a, b, c & d below)	0	0	0
<i>a. Classification Management</i>	0	0	0
<i>b. Declassification</i>	0	0	0
<i>c. Information Systems Security</i>	0	0	0
<i>d. Miscellaneous (OPSEC & TSCM)</i>	0	0	0
4. Professional Education, Training and Awareness	0	0	0
5. Security Management, Oversight and Planning	0	0	0
6. Unique Items	0	0	0
Totals: Fiscal Year Estimates	\$78,634	\$81,000	\$83,000
Totals: Full-Time Equivalents (FTEs)	0	0	0

NARRATIVE: *For FY 04 143 background investigations were initiated. Out of these 143, 36 background investigations were related to security clearance activity (25%).



U.S. Small Business Administration

March 31, 2006

OFFICE OF
INSPECTOR GENERAL

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear U.S. FOIA b (6), (b) 7(C):

In response to your letter, dated February 17, 2006, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the U.S. Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Years 2005, 2006, and 2007.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me at U.S. FOIA b (6), (b) 7(C).

Sincerely,

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

, Office of
Security Operations

Enclosure

RECEIVED
APR 18 2006

BY:

Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General, Investigations Division

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2005	FY 2006	FY 2007
1. Personnel Security	\$76,178*	\$79,000	\$82,000
2. Physical Security	0	0	0
3. Information Security			
(a.) Classification Management	0	0	0
(b.) Declassification	0	0	0
(c.) Information Systems Security for Classified Information	0	0	0
(d.) Miscellaneous (OPSEC & TSCM)	0	0	0
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	0	0	0
4. Professional Education, Training and Awareness	0	0	0
5. Security Management, Oversight and Planning	0	0	0
6. Unique Items	0	0	0
Totals: Fiscal Year Estimates (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$76,178	\$79,000	\$82,000

NARRATIVE: *For FY 05 205 background investigations were initiated. Out of these 205, 34 background investigations were related to security clearance activity (17%).

Rec'd 4/10/07



U.S. Small Business Administration

Washington, D.C. 20416

April 4, 2007

**OFFICE OF
INSPECTOR GENERAL**

U.S. FOIA b (6), (b) 7(C)

Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408-0001

Dear **U.S. FOIA b (6), (b) 7(C)**:

In response to your letter, dated January 25, 2007, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the U.S. Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Year 2006.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me at **U.S. FOIA b (6), (b) 7(C)**.

Sincerely,

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C), Office of
Security Operations

Enclosure

Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General, Investigations Division

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2006
1. Personnel Security	\$74,614
2. Physical Security	0
3. Information Security	
(a.) Classification Management	0
(b.) Declassification	0
(c.) Information Systems Security for Classified Information	0
(d.) Miscellaneous (OPSEC & TSCM)	0
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	0
4. Professional Education, Training and Awareness	0
5. Security Management, Oversight and Planning	0
6. Unique Items	0
Totals: Fiscal Year Estimates (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$74,614

NARRATIVE: For FY 2006 306 background investigations were initiated. Out of these 306 background investigations, 32 were related to security clearance activity (1%).

MAR. 26. 2008 4:34PM

SBA INVESTIGATION

NO. 2082 P. 1/2



U.S. SMALL BUSINESS ADMINISTRATION
OFFICE OF INSPECTOR GENERAL
INVESTIGATIONS DIVISION
March 26, 2008

U.S. FOIA b (6), (b) 7(C)
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408-0001

Dear U.S. FOIA b (6), (b) 7(C):

In response to your letter, dated January 23, 2008, regarding the "Report on Cost Estimates for Security Classification Activities" enclosed is a cost analysis from the U.S. Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Year 2007.

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me at U.S. FOIA b (6), (b) 7(C).

Sincerely,

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C) Office of
Security Operations

Enclosure

MAR. 26. 2008 4:34PM

SBA INVESTIGATION

NO. 2082 P. 2/2

Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General, Investigations Division

Reporting Categories <small>(Please use actual dollar figures instead of thousands)</small>	
	FY 2007
1. Personnel Security	\$93,236
2. Physical Security	0
3. Information Security	
(a.) Classification Management	0
(b.) Declassification	0
(c.) Information Systems Security for Classified Information	0
(d.) Miscellaneous (OPSEC & TSCM)	0
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	0
4. Professional Education, Training and Awareness	0
5. Security Management, Oversight and Planning	0
6. Unique Items	0
Totals: Fiscal Year Estimates (Sum of 1, 2, 3(a), 4, 5, & 6)	\$93,236

NARRATIVE: For FY 2007 291 background investigations were initiated. Out of these 291 background investigations, 43 were related to security clearance activity (15%).

Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General, Investigations Division

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2008
1. Personnel Security	\$113,963 ✓✓
2. Physical Security	0
3. Information Security	
(a.) Classification Management	0
(b.) Declassification	0
(c.) Information Systems Security for Classified Information	0
(d.) Miscellaneous (OPSEC & TSCM)	0
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	0
Professional Education, Training and Awareness	0
5. Security Management, Oversight and Planning	0
6. Unique Items	0
Totals: Fiscal Year Estimates (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$113,963 ✓✓

✓ **NARRATIVE:** For FY 2008 367 background investigations were initiated. Out of these 367 background investigations, 44 were related to security clearance activity (12%).

Security Costs Estimates Display

Name of Department/Agency: U.S. Small Business Administration, Office of Inspector General, Investigations Division

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2009
1. Personnel Security	\$101,901
2. Physical Security	0
3. Information Security	
(a.) Classification Management	0
(b.) Declassification	0
(c.) Information Systems Security for Classified Information	0
(d.) Miscellaneous (OPSEC & TSCM)	0
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	0
4. Professional Education, Training and Awareness	0
5. Security Management, Oversight and Planning	0
6. Unique Items	0
Totals: Fiscal Year Estimates (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$101,901

NARRATIVE: For FY 2009 403 background investigations were initiated. Out of these 403 background investigations, 40 were related to security clearance activity (10%).



U.S. SMALL BUSINESS ADMINISTRATION
OFFICE OF INSPECTOR GENERAL
WASHINGTON, D.C. 20416
February 22, 2011

U.S. FOIA b (6), (b) 7(C)

Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear U.S. FOIA b (6), (b) 7(C)

In response to your letter, dated December 21, 2010, regarding the *"Report on Cost Estimates for Security Classification Activities"* enclosed is a cost analysis from the U S Small Business Administration, Office of Inspector General, Investigations Division, for Personnel Security initial investigations and reinvestigations for Fiscal Year 2010

Since this Agency does not have original classification authority we do not devote 51% or more of our personnel security resources to classification activities. We only store incoming classification information we may receive from other Federal government agencies as a result of our background or character investigations.

If you should have any questions or need any additional information, please feel free to contact me at U.S. FOIA b (6), (b) 7(C)

Sincerely,

U.S. FOIA b (6), (b) 7(C)

U.S. FOIA b (6), (b) 7(C)

Office of
Security Operations

Enclosure

Security Costs Estimates

Department/Agency: **Small Business Administration**

Fiscal Year: **2010**

Point of Contact:
(Name and phone
number)

U.S. FOIA b (6), (b) 7(C) Office of Security Operations, telephone:

Reporting Categories

(Please use actual dollar figures instead of thousands)

1. Personnel Security

\$102,009.00

(include clearance program, initial investigations, national agency checks when used for basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification related activities)

2. Physical Security

\$0.00

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification related activities)

3. Information Security

(only report costs associated with classification related activities)

(a) Classification Management

\$0.00

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

(b) Declassification

\$0.00

(include resources used to identify and process information subject to the automatic, systematic, or mandatory review programs authorized by Executive order or statute)

(c) Information Systems Security for Classified Information

\$0.00

(include resources used to protect information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

(d) Miscellaneous (OPSEC and TSCM)

\$0.00

(include personnel and operating expenses associated with these programs)

4. Professional Education, Training, and Awareness

\$0.00

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification related activities)

5. Security Management, Oversight, and Planning

\$0.00

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

6. Unique Items

\$0.00

(include department/agency-specific activities not reported in any of the categories listed above but are nonetheless significant and need to be included)

Total (sum of 1, 2, 3(a), 3(b), 3(c), 3(d), 4, 5, and 6)

\$102,009.00

Narrative: provide a brief explanation of any significance difference between last year's and this year's cost estimates. Explain items entered into Block 6 Unique Items

FY 2010: A total of 375 background investigations were initiated for the entire fiscal year. Out of these 375 background investigations, 39 were related to personnel security clearance activity (10%).

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Small Business Administration

Fiscal Year: 2011

Point of Contact:

(Name and phone number)

U.S. FOIA b (6), (b) 7(C)

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$80,309.00

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$2,480.00

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$0.00

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$0.00

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$0.00

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$0.00

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$0.00

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters, industrial security, and foreign ownership, control, or influence (FOCI))

\$0.00

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$0.00

TOTAL

(sum of items 1-9)

\$82,789.00

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

FY 2011: A total of 1,199 background investigations were initiated for the entire fiscal year. Out of these 1,199 background investigations, 45 were related to personnel security clearance activity (3.75%). Therefore, the costs listed above do not exceed 51% of our resources being devoted to classification-related activity.

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Small Business Administration	Fiscal Year: 2012
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Point of Contact:

(Name and phone number) U.S. FOIA b (6), (b) 7(C)

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(Include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$79,776.00

2. Physical Security

(Include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$2,480.00

3. Classification Management

(Include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$0.00

4. Declassification

(Include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$0.00

5. Protection and Maintenance for Classified Information Systems

(Include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$0.00

6. Operations Security and Technical Surveillance Countermeasures

(Include personnel and operating expenses associated with OPSEC and TSCM)

\$0.00

7. Professional Education, Training, and Awareness

(Include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$0.00

8. Security Management, Oversight, and Planning

(Include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$0.00

9. Unique Items

(Include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$0.00

TOTAL

(sum of items 1-9)

\$82,256.00

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

FY 2012: A total of 702 background investigations were initiated for the entire fiscal year. Out of these 702 background investigations, 41 were related to personnel security clearance activity (5.8%). Therefore, the costs listed above do not exceed 51% of our resources being devoted to classification-related activity.

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Small Business Administration

Fiscal Year: 2013

Point of Contact: U.S. FOIA b (6), (b) 7(C)
(Name and phone number)

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(Include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$67,149.00

2. Physical Security

(Include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$5,922.28

3. Classification Management

(Include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$0.00

4. Declassification

(Include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$0.00

5. Protection and Maintenance for Classified Information Systems

(Include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$0.00

6. Operations Security and Technical Surveillance Countermeasures

(Include personnel and operating expenses associated with OPSEC and TSCM)

\$0.00

7. Professional Education, Training, and Awareness

(Include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$0.00

8. Security Management, Oversight, and Planning

(Include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$0.00

9. Unique Items

(Include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$0.00

TOTAL

(sum of items 1-9)

\$73,071.28

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

FY 2013: A total of 994 background investigations were initiated for the entire fiscal year. Out of these 994 background investigations, 33 were related to personnel security clearance activity (3.3%). Therefore, the costs listed above do not exceed 51% of our resources being devoted to classification-related activity.